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DATE: December 14, 2007

TO:

Examiner NANO, Sargon N. FAX NO.:

571-273-8300

USPTO GPAU 2157

FROM: Jeffrey G. Toler

Reg. No.: 38,342

RE U.S. App. No.: 10/605,474, filed October 1, 2003

Applicant(s): Philip Kortum, et al.

Atty Dkt No.: 1033-T00523

Title:

FIREWALL SWITCHING SYSTEM FOR COMMUNICATION

SYSTEM APPLICATIONS

NO. OF PAGES (including Cover Sheet): 20

MESSAGE:

Attached please find:

Transmittal Form (1 pg)

Fee Transmittal [in duplicate] (2 pgs) Brief in Support of Appeal (16 pgs)

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CONFIDENTIALITY NOTE

P. 2

12-14-07

Date

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4 2007 Approved for use through 12/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE DEC Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/605,474 TRANSMITTAL Filing Date October 1, 2003 First Named Inventor **FORM** Philip Kortum, et al. Art Unit 2157 Examiner Name NANO, Sargon N. (to be used for all correspondence after initial filing) Attorney Docket Number 1033-T00523 20 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ✓ Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) ___ Information Disclosure Statement Landscape Table on CD **Certified Copy of Priority** Remarks Document(s) Reply to Missing Parts/ Customer No.: 60533 Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Toler Law Group, Intellectual Properties Signature Printed name Jeffrey G. Toler Date 12-14-2007 Reg. No. 38,342 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

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Jeapeaux Jordan

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Signature

Name (Print/Type) Jeffrey G. Toler

PTO/SB/17 (10-07)
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Effective on 12/08/2004.		Complete if Known				
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).	Application Number	10/605,474	•			
FEE TRANSMITTAL	Filing Date	October 1, 2003				
For FY 2008	First Named Inventor	Philip Kortum, et al.	Λ			
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Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2157				
TOTAL AMOUNT OF PAYMENT (\$) 510	Attorney Docket No.	1033-T00523				
METHOD OF PAYMENT (check all that apply)						
Check Credit Card Money Order None Other (please identify):						
Deposit Account Deposit Account Number: 50-2469 Deposit Account Name: Toler Law Group, Intellectual Properties						
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FEE CALCULATION			•			
1. BASIC FILING, SEARCH, AND EXAMINATION FEES						
FILING FÉES SEAF <u>Small Entity</u>	RCH FEES EXAI Small Entity	MINATION FEES Small Entity				
Application Type Fee (\$) Fee (\$)		(\$) Fee (\$)	Fees Paid (\$)			
Utility 310 155 510	255 21	0 105				
Design 210 105 100	50 13	0 65				
Plant 210 105 310	155 16	0 80				
Reissue 310 155 510	255 62	0 310				
Provisional 210 105 0	0 .	0 0				
2. EXCESS CLAIM FEES Fee Description			nall Entity Fee (\$)			
Each claim over 20 (including Reissues)		50	25			
Each independent claim over 3 (including Reissues)		210	105			
Multiple dependent claims	. 0.4.1.70	370	185			
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HP = highest number of total claims paid for, if greater than 20.		<u> </u>				
Indep. Claims Extra Claims Fee (\$) Fee	Paid (\$)		ANARAN39 502469 1060547			
HP = highest number of independent claims paid for, if greater than 3.		12/17/2007 VBUI11				
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of pa	ner (excluding electron		510.00 DA or computer			
listings under 37 CFR 1.52(e)), the application size fe	e due is \$260 (\$130 fo		**			
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) Total Sheets Extra Sheets Number of each	and 37 CFR 1.16(s). The additional 50 or fractional 50 or	on thereof Fee (\$)	Fee Paid (\$)			
100 = / 50 = (round up to a whole number) x =						
4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$)						
Other (e.g., late filing surcharge): Appeal Brief Fee			510			
SUBMITTED BY						

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No. 38,342 (Attorney/Agent)

Telephone 512-327-5515

Date 12.14.2007

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/605,474 **Application Number** FEE TRANSMITTAL Filing Date October 1, 2003 For FY 2008 Philip Kortum, et al. First Named Inventor **Examiner Name** NANO, Sargon N. Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2157 TOTAL AMOUNT OF PAYMENT 510 1033-T00523 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Credit Card Money Order None L Other (please identify): Check Deposit Account Name: Toler Law Group, Intellectual Properties Deposit Account Deposit Account Number 50-2469 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) ✓ Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES **EXAMINATION FEES** SEARCH FEES **Small Entity** Small Entity Small Entity Fees Paid (\$) Fee (\$) Fee (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) 310 210 Utility 155 510 255 105 210 130 105 100 50 65 Design Plant 210 105 310 155 80 160 310 155 255 Reissue 510 620 310 210 0 0 0 Provisional 105 0 **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description 25 50 Each claim over 20 (including Reissues) 210 Each independent claim over 3 (including Reissues) 105 185 370 Multiple dependent claims Multiple Dependent Claims Fee Paid (\$) Extra Claims Fee (\$) Total Claims Fee Paid (\$) Fee (\$) - 20 or HP = HP = highest number of total claims paid for, if greater than 20. Fee Paid (\$) Indep. Claims Extra Claims Fee (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$) Total Sheets (round up to a whole number) x /50= 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Appeal Brief Fee 510 SUBMITTED BY Registration No. 38,342 Telephone 512-327-5515 Signature 12-14-2007 Name (Print/Type) Jeffrey G. Toler

This collection of information is required by 37 CFR 1,136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: 1033-T00523

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

DEC. 14. 2007 3:18PM

Philip Kortum, et al.

Title:

FIREWALL SWITCHING SYSTEM FOR COMMUNICATION SYSTEM

APPLICATIONS

App. No.:

10/605,474

Filed:

October 1, 2003

Examiner:

NANO, Sargon N.

Group Art Unit: -

2157

Customer No.: 60533

Confirmation No.:

2473

Atty. Dkt. No.: 1033-T00523

BOARD OF PATENT APPEALS AND INTERFERENCES

United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

BRIEF IN SUPPORT OF APPEAL

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Attorney Docket No.: 1033-T00523

1.	REAL PARTI IN INTEREST (5/ C.F.R. 941.5/(E)(1)(1))	Д
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C.	Claims on Appeal	1
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I. REAL PARTY IN INTEREST (37 C.F.R. §41.37(c)(1)(i))

The Real Party in Interest in the present Appeal is SBC Knowledge Ventures, L.P., the assignee, of Patent Application No. 10/605,474, as evidenced by the assignment set forth at Reel 014021, Frame 0463.

II. RELATED APPEALS AND INTERFERENCES (37 C.F.R. §41.37(c)(1)(ii))

With respect to other appeals or interferences that will directly affect, or be directly affected by, or have a bearing on the Board's decision in this appeal, Appellant is not aware of any such appeals or interferences.

III. STATUS OF CLAIMS (37 C.F.R. §41.37(c)(1)(iii))

A. Total Number of Claims in Application

There are 20 claims pending in the application (claims 1-20).

B. Status of All the Claims

Claims 1, 12 and 16 are independent claims. According to paragraph 1 of the Final Office Action dated June 27, 2007, the Examiner states that claims 1-20 stand rejected, and are hereby appealed.

C. Claims on Appeal

There are 20 claims on appeal (claims 1-20).

IV. STATUS OF AMENDMENTS (37 C.F.R. §41.37(c)(1)(iv))

The claims hereby appealed are based on the Amendment filed April 11, 2007. No amendment was offered or entered after the Final Office Action.

V. SUMMARY OF THE CLAIMED SUBJECT MATTER (37 C.F.R. §41.37(c)(1)(v))

The subject matter of Claim 1 can be summarized as follows:

A material content setting adjustment system is disclosed that includes a computer and an interface for facilitating communication between the computer and a network. The system further includes an interface mode adjustment switch having a plurality of physical operating mode positions. A controller is coupled to at least one interface mode adjustment switch for selectively determining passage of material content between the computer and the interface in response to the position of the interface mode adjustment switch, where the interface mode adjustment switch is dedicated for use with the controller to selectively determine passage of material content.

Claim 1 finds support from at least Figures 1-3; and page 4, paragraph [0018] through page 9, paragraph [0034], of the specification.

The subject matter of Claim 12 can be summarized as follows:

A material content setting adjustment system is disclosed that includes a computer and an interface for facilitating communication between the computer and a network. The system further includes an interface mode adjustment switch having a plurality of operating mode selections comprising a learning mode selection. A controller is coupled to at least one interface mode adjustment switch for selectively determining passage of material content between the computer and the interface in response to the position of the interface mode adjustment switch, wherein in the learning mode the controller is able to reduce the security level for tasks without requiring a user to make adjustments in the interface.

Claim 12 finds support from at least Figures 1-2 and 4; page 4, paragraph [0018] through page 8, paragraph [0033]; and page 8, paragraph [0035] through page 9, paragraph [0037], of the specification.

The subject matter of claim 16 can be summarized as follows:

A method of adjusting passage of material content within a communication system is

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Attorney Docket No.: 1033-T00523

disclosed that includes facilitating communication between a computer and a network via at least one interface. The method further includes selecting a material content passage operating mode via at least one physical interface mode adjustment switch that is dedicated for use in selecting the material content passage operating mode and determining passage of material content between the computer and the interface in response to the selected material content passage operating mode.

Claim 16 finds support from at least Figure 5; page 4, paragraph [0018]; and page 9, paragraph [0038] through page 11, paragraph [0047] of the specification.

VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL (37 C.F.R. §41.37(c)(1)(vi))

- A. Claims 1-11 are rejected under U.S.C. §102(e) as being anticipated by U.S. Patent No. 6,990,591 ("Pearson").
- B. Claims 12-15 are rejected under U.S.C. §102(e) as being anticipated by U.S. Patent No. 6,990,591 ("Pearson").
- C. Claims 16-20 are rejected under U.S.C. §102(e) as being anticipated by U.S. Patent No. 6,990,591 ("Pearson").

VII. ARGUMENT (37 C.F.R §41.37(c)(1)(vii))

Appellant respectfully appeals each of the rejections applied against all claims now pending.

A. CLAIMS 1-11 ARE ALLOWABLE OVER PEARSON

Appellant traverses the rejections of claims 1-11 under 35 U.S.C. §102(e) as being anticipated by Pearson at page 2, paragraph 2 of the Final Office Action.

1. Pearson Does Not Teach Each and Every Element of Claims 1-11.

It is axiomatic that anticipation of a claim under 35 U.S.C. §102(e) can be found only if the prior art reference discloses every element of the claim. See In re King, 801 F.2d 1324, 1326, 231 USPQ 136, 138 (Fed. Cir. 1986) and Lindemann Maschinenfabrik GMBH v. American Hoist & Derrick Co., 730 F.2d 1452, 1458, 221 USPQ 481, 485 (Fed. Cir. 1984). Here, the cited prior art reference, Pearson, does not anticipate claim 1, because Pearson does not disclose every element of claim 1. For example, Pearson does not disclose or suggest a system having an interface including "at least one interface mode adjustment switch having a plurality of physical operating mode positions" or "wherein the at least one interface mode adjustment switch is dedicated for use with the controller to selectively determine passage of material content", as recited in claim 1.

Pearson discloses a system for remotely monitoring the security status of a computer network and remotely configuring communication devices connected to a computer network using an interface that is a <u>server-based configuration program</u> hosted by a front end server at a remote monitoring center. See Pearson, column 1, lines 11-13; column 9, lines 20-34; Figure 1. The communication device of Pearson is remotely configured through a several step process requiring a user to first access a website maintained at a remote monitoring center to make a request to initiate a remote configuration of the user's local communication device. See Pearson, column 3, lines 38-41. The interface of Pearson is described as "a server-based configuration application program utilized for configuration of users' communication devices, as it would appear on a typical user's computer display screen." See Pearson, column 9, lines 20-24. The user electronically configures the security policy for a communication device by pointing to and selecting one of the icons displayed on the user's computer screen by the server-based configuration application, which is then received by the remote monitoring center for processing. See Pearson, column 10, lines 64-66; column 11, lines 8-12.

The Final Office Action states:

at least one interface mode adjustment switch having a plurality of physical operating mode positions (see col. 10 lines 52-63 and fig. 4A and 4B, Pearson discloses a user interface displaying set policies of different modes or levels of communication); and

a controller coupled to said at least one physical interface mode adjustment switch and selectively determining passage of material content between said at least one computer and said at least one interface in response to position of said at least one interface mode adjustment switch wherein the at least one interface mode adjustment switch is dedicated for use with the controller to selectively determine passage of material content (see col.10 line 2 – col. 11 line 20, Pearson discloses a user selectable buttons which determine multiple level of communication security).

See Final Office Action, p. 3, paragraphs 1-2.

In contrast to claim 1, Pearson does not disclose or suggest an interface including "at least one interface mode adjustment switch having a plurality of physical operating mode positions" or "wherein the at least one interface mode adjustment switch is dedicated for use with the controller to selectively determine passage of material content", as recited in claim 1. (Emphasis supplied). In particular, Pearson's interface does not teach an adjustment switch having physical operating mode positions, as recited in claim 1. Instead, Pearson's interface is a server-based configuration application program. See Pearson, column 9, lines 20-34. Further, Pearson's server-based application program interface does not teach a switch dedicated for use with a controller to selectively determine passage of material content, as recited in claim 1. Instead, Pearson discloses the use of a server-based application program that is hosted at a remote monitoring center to configure the local communication device using a remote monitoring center controller. See Pearson, column 1, lines 11-13; column 9, lines 20-34; Figure 1. Accordingly, Pearson does not disclose or suggest a system having an interface including an interface mode adjustment switch having a plurality of physical operating mode positions, wherein the at least one interface mode adjustment switch is dedicated for use with the controller to selectively determine passage of material content, as recited in claim 1. Thus, Pearson does not teach every element of claim 1. Hence, claim 1 is allowable.

Claims 2-11 depend from claim 1, which Appellant has shown to be allowable. Hence, Pearson fails to disclose at least one element of each of claims 2-11. Accordingly, claims 2-11 are also allowable, at least by virtue of their dependence from claim 1.

B. CLAIMS 12-15 ARE ALLOWABLE OVER PEARSON

Appellant traverses the rejections of claims 12-15 under 35 U.S.C. §102(e) as being anticipated by Pearson at page 5, paragraph 3 of the Final Office Action.

1. Pearson Does Not Teach Each and Every Element of Claims 12-15.

It is axiomatic that anticipation of a claim under 35 U.S.C. §102(e) can be found only if the prior art reference discloses every element of the claim. See In re King, 801 F.2d 1324, 1326, 231 USPQ 136, 138 (Fed. Cir. 1986) and Lindemann Maschinenfabrik GMBH v. American Hoist & Derrick Co., 730 F.2d 1452, 1458, 221 USPQ 481, 485 (Fed. Cir. 1984). Here, the cited prior art reference, Pearson, does not anticipate claim 12, because Pearson does not teach every element of claim 12. For example, Pearson does not disclose or suggest a system having an interface including "at least one interface mode adjustment switch having a plurality of operating mode selections comprising a learning mode selection ... wherein in the learning mode the controller is able to reduce the security level for tasks without requiring a user to make adjustments in said interface," as recited in claim 12.

Pearson discloses a system for remotely monitoring the security status of a computer network and remotely configuring communication devices connected to a computer network using an interface that is a <u>server-based configuration program</u> hosted by a front end server at a remote monitoring center. See Pearson, column 1, lines 11-13; column 9, lines 20-34; Figure 1. The user electronically configures the security policy for a local communication device by pointing to and selecting one of the icons displayed on the user's computer screen by the server-based configuration application, which is then received by the remote monitoring center for processing. See Pearson, column 10, lines 64-66; column 11, lines 8-12.

The Final Office Action states:

at least one interface mode adjustment switch having a plurality of operating mode selections comprising a learning mode selection (see col. 1 lines 52-63 and figs 4A and 4B, Pearson discloses a user interface that displays set policies for different modes or levels of communications); and a controller couples to said at least one interface mode adjustment switch, having a plurality of operating mode

selections, and selectively determining passage of material content between said at least one computer and said at least one interface in response to said plurality of operating mode selections wherein the learning mode the controller is able to reduce the security level for tasks without requiring a user to make adjustment in the interface (see col. 10 line 52 – col. 11 line 20, Pearson discloses user selectable buttons to determine the security level of communication in a network).

See Final Office Action, p. 5, paragraph 3.

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In contrast to claim 12, Pearson does not disclose or suggest an interface including "at least one interface mode adjustment switch having a plurality of operating mode selections comprising a learning mode selection ... wherein in the learning mode the controller is able to reduce the security level for tasks without requiring a user to make adjustments in said interface", as recited in claim 12. (Emphasis added). Pearson's interface does not teach an adjustment switch having operating mode positions, as recited in claim 1. Instead, Pearson's interface is a server-based configuration application program. See Pearson, column 9, lines 20-34. Further, Pearson's server-based application program interface does not teach a switch having a learning mode so that the controller is able to reduce the security level for tasks without requiring a user to make adjustments in the interface, as recited in claim 12. The Office asserts that this feature is taught by column 1, lines 52-63, and Figure 4A and 4B of Pearson. However, neither the cited portion of Pearson, nor any other portion of Pearson teaches "a plurality of operating mode selections comprising a learning mode selection", as recited in claim 12. In particular, the cited reference does not disclose or suggest a learning mode in which the controller is able to reduce the security level for tasks without requiring the user to make adjustments in the interface, as recited in claim 12. Instead, Pearson discloses three security levels (high, medium, and low) that must be selected by the user using the server-based configuration application program interface. See Pearson, column 10, lines 55-60. (Emphasis added). Accordingly, Pearson does not disclose or suggest a learning mode for an adjustment switch, as recited in claim 12. Pearson does not teach every element of claim 12. Therefore, claim 12 is allowable.

Claims 13-15 depend from claim 12, which Appellant has shown to be allowable. Hence, Pearson fails to disclose at least one element of each of claims 13-15. Accordingly, claims 13-15 are also allowable, at least by virtue of their dependence from claim 12.

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C. CLAIMS 16-20 ARE ALLOWABLE OVER PEARSON

Appellant traverses the rejections of claims 16-20 under 35 U.S.C. §102(e) as being anticipated by Pearson at page 6, paragraph 4 of the Final Office Action.

Pearson Does Not Teach Each and Every Element of Claims 16-20. 1.

It is axiomatic that anticipation of a claim under 35 U.S.C. §102(e) can be found only if the prior art reference discloses every element of the claim. See In re King, 801 F.2d 1324, 1326, 231 USPQ 136, 138 (Fed. Cir. 1986) and Lindemann Maschinenfabrik GMBH v. American Hoist & Derrick Co., 730 F.2d 1452, 1458, 221 USPQ 481, 485 (Fed. Cir. 1984). Here, the cited prior art reference, Pearson, does not anticipate claim 16, because Pearson does not teach each and every element of claim 16. For example, Pearson does not disclose or suggest a method comprising "facilitating communication between at least one computer and a network via at least one interface" and "selecting a material content passage operating mode via at least one physical interface mode adjustment switch that is dedicated for use in selecting the material content passage operating mode," as recited in claim 16.

Pearson discloses a system for remotely monitoring the security status of a computer network and remotely configuring communication devices connected to a computer network using an interface that is a server-based configuration program hosted by a front end server at a remote monitoring center. See Pearson, column 1, lines 11-13; column 9, lines 20-34; Figures 1 and 6. The communication device of Pearson is remotely configured through a several step process requiring a user to first access a website maintained at a remote monitoring center to make a request to initiate a remote configuration of the user's local communication device. See Pearson, column 3, lines 38-41. The interface of Pearson is described as "a server-based configuration application program utilized for configuration of users' communication devices, as it would appear on a typical user's computer display screen." See Pearson, column 9, lines 20-24. The user electronically configures the security policy for a communication device by pointing to and selecting one of the icons displayed on the user's computer screen by the serverbased configuration application, which is then received by the remote monitoring center for

processing. See Pearson, column 10, lines 64-66; column 11, lines 8-12.

The Final Office Action states:

Claims 16-20 do not teach or define any new limitations above claims 1-15 and therefore are rejected for similar reasons.

See Final Office Action, p. 6, paragraph 4.

In contrast to claim 16, Pearson does not disclose or suggest a method of "selecting a material content passage operating mode via at least one physical interface mode adjustment, switch that is dedicated for use in selecting the material content passage mode," as recited in claim 16. (Emphasis added). In particular, Pearson's interface does not teach selecting an operating mode using a physical interface adjustment switch, as recited in claim 16. Instead, Pearson's interface is a server-based configuration application program. See Pearson, column 9, lines 20-34. (Emphasis added). Further, Pearson's server-based application program interface does not teach a switch dedicated for use in selecting the material content passage mode, as recited in claim 16. Instead, Pearson discloses the use of a server-based application program that is hosted at a remote monitoring center to configure the local communication device using a remote monitoring center controller. See Pearson, column 1, lines 11-13; column 9, lines 20-34; Figures 1 and 6. Accordingly, Pearson does not disclose or suggest a method of "selecting a material content passage operating mode via at least one physical interface mode adjustment switch that is dedicated for use in selecting the material content passage mode," as recited in claim 16. Thus, Pearson does not teach every element of claim 16 and claim 16 is allowable.

Claims 17-20 depend from claim 16, which Appellant has shown to be allowable. Hence, Pearson fails to disclose at least one element of each of claims 17-20. Accordingly, claims 17-20 are also allowable, at least by virtue of their dependence from claim 16.

VIII. CLAIMS APPENDIX (37 C.F.R §41.37(c)(1)(viii))

The text of each claim involved in the appeal is as follows:

- 1. (Previously presented) A material content setting adjustment system comprising:
- at least one computer;
- at least one interface facilitating communication between said at least one computer and a network;
- at least one interface mode adjustment switch having a plurality of physical operating mode positions; and
- a controller coupled to said at least one interface mode adjustment switch and selectively determining passage of material content between said at least one computer and said at least one interface in response to position of said at least one interface mode adjustment switch, wherein the at least one interface mode adjustment switch is dedicated for use with the controller to selectively determine passage of material content.
- 2. (Original) A system as in claim 1 wherein said at least one interface is an interface selected from at least one of a gateway, a hub, a high-speed communication interface, and a router.
- 3. (Original) A system as in claim 1 wherein said controller is contained at least partially within said at least one computer.
- 4. (Original) A system as in claim 1 wherein said controller is contained at least partially within said at least one interface.
- 5. (Original) A system as in claim 1 wherein said plurality of operating mode positions correspond with a plurality of operating modes of said controller.
 - 6. (Original) A system as in claim 1 wherein said controller has a plurality of operating

modes that comprise modes selected from at least two of a blocking mode, a learning mode, a partially blocking mode, and a non-blocking mode.

- 7. (Original) A system as in claim 1 wherein said at least one interface mode adjustment switch has a firewall activated position and a firewall deactivated position.
- 8. (Original) A system as in claim 1 wherein said interface is coupled to said network via a connection selected from at least one of a high-speed communication connection, a digital subscriber line connection, a communications-unity antenna television connection, a satellite connection, a wireless connection, a broadband cable connection, analog connection, and an Internet connection.
- 9. (Original) A system as in claim 1 wherein said at least one interface mode adjustment switch is a switch selected from at least one of a toggle switch, a rotary switch, a push button switch, a rocker switch, a slide switch, and a keylock switch.
- 10. (Original) A system as in claim 1 wherein said at least one interface mode adjustment switch is hardware-based.
- 11. (Original) A system as in claim 1 wherein said at least one interface mode adjustment switch is mounted in at least one of said at least one computer, said at least one interface, and at least one housing.
 - 12. (Previously presented) A material content setting adjustment system comprising: at least one computer;
 - at least one interface facilitating communication between said at least one computer and a network;
 - at least one interface mode adjustment switch having a plurality of operating mode selections comprising a learning mode selection; and
 - a controller coupled to said at least one interface mode adjustment switch, having a

plurality of operating mode selections, and selectively determining passage of material content between said at least one computer and said at least one interface in response to said plurality of operating mode selections, wherein in the learning mode the controller is able to reduce the security level for tasks without requiring a user to make adjustments in the interface.

- 13. (Original) A system as in claim 12 wherein said at least one interface mode adjustment switch is software actuated.
- 14. (Original) A system as in claim 12 wherein said plurality of operating mode positions have an onscreen representation.
- 15. (Original) A system as in claim 12 wherein status of said at least one interface mode adjustment switch is continuously shown on said at least one computer desktop.
- 16. (Previously presented) A method of adjusting passage of material content within a communication system comprising:
 - facilitating communication between at least one computer and a network via at least one interface;
 - selecting a material content passage operating mode via at least one physical interface mode adjustment switch that is dedicated for use in selecting the material content passage operating mode; and
 - determining passage of material content between said at least one computer and said at least one interface in response to said selected material content passage operating mode.
- 17. (Original) A method as in claim 16 further comprising: selecting a learning mode; and learning allowable material content.
 - 18. (Original) A method as in claim 17 wherein learning allowable material content

comprises operating in a non-blocking mode or a partially blocking mode.

- 19. (Original) A method as in claim 17 wherein learning allowable material content is performed for a predetermined length of time.
- 20. (Original) A method as in claim 16 further comprising operating in at least one mode selected from a blocking mode, a learning mode, and a non-blocking mode.

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IX. EVIDENCE APPENDIX (37 C.F.R §41.37(c)(1)(ix))

[None]

X. RELATED PROCEEDINGS APPENDIX (37 C.F.R §41.37(c)(1)(x))

[None]

XL CONCLUSION

For at least the above reasons, all pending claims are allowable and a notice of allowance is courteously solicited. Please direct any questions or comments to the undersigned attorney at the address indicated. Appellant respectfully requests reconsideration and allowance of all claims and that this patent application be passed to issue.

12-14-2007	
Date	

Respectfully submitted,

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